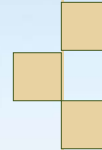
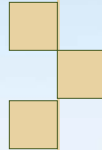




SOX²:
A new approach to Sarbanes-Oxley compliance



SOX²



SOX Sustained On-going eXecution

Stop wasting time with labor-intensive and inconsistent approaches to SOX compliance. The automation of documentation, testing and monitoring will allow your organization to complete your SOX project more quickly and efficiently and with much more consistent and high quality output. The benefits of this approach generate even greater returns of time and cost savings for organizations that have similar or identical processes and controls which are repeated across multiple business units.

For more information, call (860) 399-1248



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ZWA business consultants blends the experience of Big 4 public accounting with the detailed technical expertise of seasoned computer program developers. This unique combination of skill sets has driven our innovative approach to auditing and operational improvement services. We strive to leverage technology to maximum advantage for our clients.

Take control of your Sarbanes-Oxley project with a structured, automated approach to Sarbanes-Oxley compliance.

With the SOX² methodology, you can reduce costs and improve results for Sarbanes-Oxley content and infrastructure development, comply with SOX requirements for testing and on-going monitoring, and reduce future SOX audit expenses. You will be able to simplify the documentation processes for internal controls, quickly identify ineffective controls, and standardize controls to improve efficiency across the entire enterprise. And, with an automated approach, you will get consistent results in a standardized format every time.

The Conventional Approach

Time-consuming. Inconsistent. Money wasting.
And endlessly frustrating.

DOCUMENTATION: Conventional methods are often a logistical nightmare for organizations. They place heavy reliance on desktop applications for appearance and formatting and the skill of the preparer for the quality of content. Unnecessary costs can arise for organizations that delegate documentation to the local level due to duplication of controls and variations in the definition of 'key' controls.

TESTING: Current practices are time and resource intensive and prone to error due to variability in interpretation and execution. A lack of skilled auditors in industry specific procedures and sampling risk also contribute to testing difficulties.

MONITORING: Sarbanes-Oxley compliance includes a requirement for continuous oversight. Conventional testing only allows for reactive management to historic data.

There's a better way.



The SOX² Methodology

Quality results with a structured, effective, efficient and simplified application.

The SOX² structured methodology is designed to reduce costs and improve results for Sarbanes-Oxley content and infrastructure development, comply with the SOX requirements for management assessment and ongoing monitoring, and reduce future SOX audit expenses. Through SOX², you can simplify documentation processes for internal controls, quickly identify ineffective controls, and standardize controls to improve efficiency across the entire enterprise.

SOX² AT A GLANCE:

DOCBUILDER: Database driven and auto-generated through Microsoft Word, Excel or Adobe Acrobat, the DocBuilder gives you standardized results with centralized control over control definitions.

CONTROLSYSTEM: Highly accurate with immediate results, get automated testing with the capability of testing 100% of the population with the ControlSystem.

SOXVIEW: Real-time monitoring with the SoxView allows you to easily assess the current status of your organization's control environment and identify ineffective controls.

